

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Application No.: 10/574,662 Confirm. No.: 7643

Filing Date: November 22, 2006 Examiner: Carol KOSLOW

First Inventor: Thomas GIERING Art Unit: 1793

Attorney No.: GIER3004/JJC/PMB Customer No.: 23364

For: CODING SYSTEM FOR VALUE DOCUMENTS

**REQUEST FOR CORRECTED FILING RECEIPT  
(PTO Error)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Correction of the Filing Receipt for the above-identified application is requested.

The Filing Receipt issued in this application erroneously indicates the German priority application no. as "102-46-685.1". The correct application no. for the German application is "103-46-685.1

A copy of the Declaration and the Application Data Sheet that accompanied the original application papers is submitted herewith as evidence of the correct foreign priority for the above-identified application.

Please issue and transmit to Applicant's attorney a corrected Filing Receipt.

Please charge any additional fees required or credit any overpayments in connection with this paper to Deposit Account No. 02-0200.

If any issues remain that may be resolved by a telephone or facsimile communication with the applicants' attorney, the examiner is invited to contact the undersigned at the numbers shown below.

BACON & THOMAS, PLLC  
625 Slaters Lane, Fourth Floor  
Alexandria, Virginia 22314-1176  
Phone: (703) 683-0500  
Facsimile: (703) 683-1080

Date: June 3, 2010

Respectfully submitted,

/Patrick M. Buechner/

PATRICK M. BUECHNER  
Attorney for Applicants  
Registration No. 57,504



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/574,662	11/22/2006	2879	1280	GIER3004/JEK	1	25	1

CONFIRMATION NO. 7643

23364  
BACON & THOMAS, PLLC  
625 SLATERS LANE  
FOURTH FLOOR  
ALEXANDRIA, VA22314

FILING RECEIPT

Date Mailed: 12/05/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Thomas Giering, Kircheeon, GERMANY;  
Gerhard Scwenk, Puhheim, GERMANY;

Power of Attorney: The patent practitioners associated with Customer Number 23364

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/11131 10/05/2004

Foreign Applications

GERMANY 102-46-685.1 10/08/2003

103

If Required, Foreign Filing License Granted: 12/04/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/574,662**

Projected Publication Date: 03/15/2007

Non-Publication Request: No

Early Publication Request: No

Title

Coding system for value documents

Preliminary Class

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

### **LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15**

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to

espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY**

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention (Design, if applicable) entitled:

**CODING SYSTEM FOR VALUE DOCUMENTS**

the specification of which (check one):

is attached hereto, or  was filed on: **October 5, 2004** as U.S. Application Number or PCT International Application Number:

PCT/EP2004/011131 and (if applicable) was amended on:

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in *Title 37, Code of Federal Regulations, §1.56*. I hereby claim foreign priority benefits under *Title 35, United States Code §119* of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

PRIOR FOREIGN APPLICATION(S)			PRIORITY CLAIMED	
Number	Country	Day/Month/Year Filed	Yes	No
103 46 685.1	GERMANY	08/10/2003	X	

Additional Priority Application(s) Listed on Following Page(s)

I HEREBY CLAIM THE BENEFIT UNDER TITLE 35 U.S. CODE §119(E) OF ANY U.S. PROVISIONAL APPLICATIONS LISTED BELOW.	
Application Number	Day/Month/Year Filed

Additional Provisional Application(s) Listed on Following Page(s)

I hereby claim the benefit under *Title 35, United States Code, §120* of any United States application(s) or PCT international application(s) designating The United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of *Title 35, United States Code, §112*, I acknowledge the duty to disclose information which is material to patentability as defined in *Title 37, Code of Federal Regulations, §1.56* which became available between the filing date of the prior application(s) and the national or PCT International filing date of this application:

Application Number	Filing Date	Status - Patented, Pending or Abandoned

Additional US/PCT Priority Application(s) listed on Following Page(s)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under *section 1001 of title 18 of the United States Code* and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** I (We) hereby appoint as my (our) attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: J. Ernest Kenney, Reg. No. 19,179; Eugene Mar, Reg. No. 25,893; Richard E. Fichter, Reg. No. 26,382; Thomas J. Moore, Reg. No. 28,974; Eric S. Spector, Reg. No. 22,495; Felix J. D'Ambrosio, Reg. No. 25,721; George A. Loud, Reg. No. 25,814; Benjamin E. Urcia, Reg. No. 33,805; and Justin J. Cassell, Reg. No. 46,205

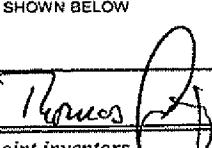
I(we) authorize my(our) attorneys to accept and follow instructions from \_\_\_\_\_ regarding any matter related to the preparation, examination, grant and maintenance of this application, any continuation, continuation-in-part or divisional based thereon, and any patent resulting therefrom, until I(we) or my(our) assigns withdraw this authorization in writing.

Send correspondence to

Customer 23364

**BACON & THOMAS, PLLC**  
625 Slaters Lane - 4<sup>th</sup> Floor  
Alexandria, VA 22314-1176

Telephone Calls to: **J. Ernest Kenney**  
(703) 683-0500

FULL NAME OF FIRST OR SOLE INVENTOR Thomas GIERING	CITIZENSHIP GERMANY
RESIDENCE ADDRESS Am Kleinfeld 12 85614 Kirchseeon, GERMANY	POST OFFICE ADDRESS IS THE SAME AS RESIDENCE ADDRESS UNLESS OTHERWISE SHOWN BELOW
DATE 06.06.2006	SIGNATURE 

See following page(s) for additional joint inventors.

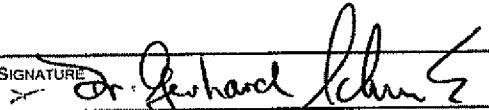
## CONTINUATION OF DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY

Page 2

PRIOR FOREIGN APPLICATION(S) (35 USC §119)			PRIORITY CLAIMED	
Number	Country	Day/Month/Year Filed	Yes	No

PRIOR PROVISIONAL APPLICATIONS 35 U.S. CODE §119(e)	
Application Number	Day/Month/Year Filed

PRIOR U.S. OR PCT INTERNATIONAL APPLICATIONS (35 U.S. CODE §120)		
Application Number	Filing Date	Status - Patented, Pending or Abandoned

FULL NAME OF JOINT INVENTOR Gerhard SCHWENK	CITIZENSHIP GERMANY
RESIDENCE ADDRESS Primelstrasse 106 82178 Puchheim, GERMANY	POST OFFICE ADDRESS IS THE SAME AS RESIDENCE ADDRESS UNLESS OTHERWISE SHOWN BELOW
DATE 22.06.2006	SIGNATURE 

FULL NAME OF JOINT INVENTOR	CITIZENSHIP
RESIDENCE ADDRESS	POST OFFICE ADDRESS IS THE SAME AS RESIDENCE ADDRESS UNLESS OTHERWISE SHOWN BELOW
DATE	SIGNATURE

FULL NAME OF JOINT INVENTOR	CITIZENSHIP
RESIDENCE ADDRESS	POST OFFICE ADDRESS IS THE SAME AS RESIDENCE ADDRESS UNLESS OTHERWISE SHOWN BELOW
DATE	SIGNATURE

FULL NAME OF JOINT INVENTOR	CITIZENSHIP
RESIDENCE ADDRESS	POST OFFICE ADDRESS IS THE SAME AS RESIDENCE ADDRESS UNLESS OTHERWISE SHOWN BELOW
DATE	SIGNATURE

See following pages for additional joint inventors/priority applications.

10/574662

IAP20Rec'dPCT/P10 04 APR 2006

Application Data Sheet

Application Information

Application type:: Regular  
Subject matter::  
CD-ROM or CD-R:: None  
Number of CD disks:: 0  
Number of copies of CDs:: 0  
Sequence submission?:: No  
Computer readable form (CRF)?:: No  
Number of copies of CRF:: 0  
Title:: CODING SYSTEM FOR VALUE DOCUMENTS  
Attorney docket number:: GIER3004/JEK  
Request for early publication?:: No  
Request for non-publication?:: No  
Suggested drawing figure::  
Total drawing sheets:: 1  
Small entity?:: No

Applicant Information

Applicant authority type:: Inventor  
Primary citizenship country:: GERMANY  
Status: Full capacity  
Given name:: Thomas  
Middle name::  
Family name:: GIERING  
Name suffix::  
City of Residence:: Kirchseeon  
State or province of residence::  
Country of residence:: GERMANY  
Street of mailing address:: Am Kleinfeld 12

City of mailing address:: Kirchseeon  
State or province of mailing address::  
Country of mailing address:: GERMANY  
Postal or zip code of mailing address:: 85614

Applicant Information

Applicant authority type:: Inventor  
Primary citizenship country:: GERMANY  
Status: Full capacity  
Given name:: Gerhard  
Middle name::  
Family name:: SCHWENK  
Name suffix::  
City of Residence:: Puchheim  
State or province of residence::  
Country of residence:: GERMANY  
Street of mailing address:: Primelstrasse 106  
City of mailing address:: Puchheim  
State or province of mailing address::  
Country of mailing address:: GERMANY  
Postal or zip code of mailing address:: 82178

Correspondence Information

Correspondence customer number:: 23364  
Phone number:: 703-683-0500  
Fax number:: 703-683-1080  
E-mail address:: mail@baconthomas.com

Representative Information

Representative customer number:: 23364

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This application	an application claiming benefit under 35 USC 119(e)		
This application	National stage of	PCT/EP2004/011131	10/05/2004
This application	Continuation of		

Foreign Priority Information

Country::	Application number::	Filing Date::	Priority claimed::
GERMANY	103 46 685.1	10/08/2003	YES

Assignee Information

Assignee name::